Atorney Docket No.: 36992.00068

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Examiner:

Unknown

CE PECENTED PECHNOLOGY CONTOR 2606

Kenji Yamagami

Serial No.

09/760,345

Art Unit:

2641

Filed:

January 12, 2001

Title:

Failure Notification Method and System Using Remote Mirroring For

Clustering Systems

Commissioner for Patents Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97-1.98

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. One copy of each cited reference is submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute any of the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

Atorney Docket No.: 36992.00068

The present Information Disclosure Statement is being filed before receiving the first office Action. Therefore, no certification under 37 C.F.R §1.97(e) or fee under 37 C.F.R.

§1.17(p) is required.

Date: April 3, 2001 Squire, Sanders & Dempsey L.L.P.

600 Hansen Way

Palo Alto, CA 94304-1043

Telephone (650) 856-6500

Facsimile (650) 856-3619

Respectfully submitted,

Arnold M. de Guzman Attorney for Applicant

Reg. No. 39,955

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents,

Washington, D.C. 20231, on Date: April 3, 2001

Bu

Arnold M. de Guzman

ket No.:36992.00068

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Examiner:

Unknown

Kenji Yamagami

Serial No.

09/760,345

Art Unit:

2641

Filed:

January 12, 2001

Title:

PECHNOLOGY CONTESTED REPORTED TO SECOND SECO Failure Notification Method and System Using Remote Mirroring For

Clustering Systems

Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Enclosed are the following documents submitted herewith in connection with the aboveidentified patent application:

- 1. Transmittal Letter (in duplicate)
- 2. Information Disclosure Statement ·
- 3. Form 1449
- 4. Return Receipt Postcard
- 5. References A through H

If for any reason an insufficient fee has been paid, the Commissioner is hereby authorized to charge any deficiency in payment of required fees associated with this communication to Deposit Account 05-0150. A duplicate of this Transmittal letter is enclosed herewith.

Date: April 3, 700/ Squire, Sanders & Dempsey LLP

600 Hansen Way

Palo Alto, CA 94304-1043

Tel: (650) 856-6500

Fax: (650) 856-3619

Respectfully submitted,

Arnold M. de Guzman Attorney for Applicant Registration No. 39,955

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231, on

April 3, 2001

Arnold M. de Guzman

Library: Palo Alto; Document #: 12237v1